



COMMONWEALTH OF KENTUCKY  
DEPARTMENT OF ALCOHOLIC BEVERAGE CONTROL  
1003 Twilight Trail  
Frankfort, Kentucky 40601-8400  
502-564-4850 phone  
502-564-1442 fax  
<http://abc.ky.gov>

Val. \_\_\_\_\_

**BASIC APPLICATION FOR ALCOHOLIC BEVERAGE LICENSE**  
Applications will be returned if all questions are not answered completely.

Val. \_\_\_\_\_

**LEAVE BLANK - FOR ABC USE ONLY**

License # _____ \$ _____	License # _____ \$ _____	License # _____ \$ _____
License # _____ \$ _____	License # _____ \$ _____	License # _____ \$ _____
Reviewing Licensing Administrative Specialist _____	Input Date _____	Review Date _____
Malt Beverage Administrator's Approval _____	Date _____	
Distilled Spirits Administrator's Approval _____	Date _____	

**SECTION A**

**SECTION B**

Applicant's business/company name <u>CB Real Estate Partners LLC</u> (applicant's name, if sole proprietor)	2. Tax numbers must be issued in the applicant's name.  Ky. Sales & Use Tax # <u>applied for</u>  Ky. Withholding Tax # <u>applied for</u>  Ky. Corporate Tax # <u>986619</u>  Federal EIN # <u>80-0761453</u>
DBA (Doing Business As): <u>Dry Ridge Liquor and Package</u>	
Address of premises to be licensed <u>90 Blackburn Ln</u>	
City <u>Dry Ridge</u> County <u>Grant</u>	
Precinct _____ State <u>KY</u> Zip code <u>41035</u>	
Mailing address (if different from above) <u>2819 Burnside Dr Burlington Ky 41005</u>	
Contact person <u>Greg Cozzart</u> E-mail address <u>cozzart.brandi@p@yahoo.com</u> Contact phone <u>859-957-3272</u>	
Fax <u>none</u> Premises phone _____ Fee enclosed \$ <u>780</u>	

**SECTION C**

3. Complete the following for the business proprietor, partner(s), and all persons interested in the business to be licensed. List all owners, officers, directors, partners, managing members, members, and shareholders. Show 100% of the ownership. Make an attachment if additional space is needed. If you are operating under a management agreement, please enclose a copy of agreement.

Is this a publicly held company:  YES  NO

NAME AND HOME ADDRESS	ALL PHONE NUMBERS H= HOME W= WORK F= FAX C= CELL	SOCIAL SECURITY NUMBER OR EIN	TITLE	USA CITIZENSHIP	DATE OF BIRTH	LIST STATE(S) WHERE PERSON RESIDED IN PAST 5 YRS	% OF OWNERSHIP
Greg Cozzart 2819 Burnside Dr. Burlington, KY 41005	H _____ W <u>859-292-8046</u> F _____ C <u>859-957-3272</u>	<u>80-076</u> <u>145-3</u>	Manager	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	<u>8/18/65</u>	<u>Kentucky</u>	<u>50</u> %
	H _____ W _____ F _____ C _____			<input type="checkbox"/> YES <input type="checkbox"/> NO			_____ %
Michael Brandy 6755 Mira Lake Ln Cincinnati, OH 45243	H _____ W <u>859-292-8040</u> F _____ C <u>859-512-6568</u>	<u>80-076</u> <u>145-3</u>	Manager	<input checked="" type="checkbox"/> YES <input type="checkbox"/> NO	<u>8/17/56</u>	<u>Ohio</u>	<u>50</u> %

SECTION D

- 4. Is the applicant the owner of the real estate where these premises are to be licensed? [ ] YES [x] NO
If yes, attach a deed. If no, attach a signed copy of the lease or permit.

ABC will not issue any license(s) unless this lease extends through the full period of the license expiration date.

ABC will not issue any license(s) unless the applicant has an active and valid lease or deed permit for the licensed premises.

If the applicant is not the owner of the real estate premises:

Name the owner of the real estate premises Elizabeth Development Co Inc

Give the address of the owner of the real estate premises 45 Fairfield Ave #200, Bellevue, KY 41073

Give a contact number for the owner of the real estate premises 859-292-8040

What is the expiration date of the lease or permit? (MM/DD/YY) 04/24/18

- 5. Are you operating under a management agreement? [ ] YES [x] NO

- 6. If the applicant is a corporation, limited partnership, or limited liability company (LLC), is it in good standing with the Kentucky Secretary of State? [x] YES [ ] NO

Identify the state in which the applicant is incorporated or organized: Ohio

Attach a copy of the applicant's Articles of Incorporation or Articles of Organization. If incorporated or organized in another state, attach a Certificate of Authority to do business in Kentucky.

- 7. Is any business proprietor, partner, owner, officer, director, managing member, member, shareholder, or other person interested in the business to be licensed NOT a U.S. citizen? [ ] YES [x] NO

If yes, any non-U.S. citizen must attach a copy of the non-U.S. citizen's Social Security Card and federal government issued photo ID with alien ID number, or Federal Employee Identification Number (EIN) of the applicant.

- 8. Is the entire license fee paid by the applicant and not by any other person? [x] YES [ ] NO

- 9. List all sources of the funds for the premises, business, and license fees: All owners funds - no loans

a. If funding was obtained with a loan, list the name of the lender(s) or individuals(s):

b. List the account number(s) and name(s) of the financial institution(s) where the licensee will bank: Heritage Bank - 162482

c. List all persons who are authorized on the signature card(s) of the account(s) listed on 9b: Michael Brandy

- 10. Has the applicant or any person listed in Section C (3) ever held any type of alcoholic beverage licenses? [ ] YES [x] NO

If yes, give the name of the state and license number(s)

Is this license being transferred to a new location?

- 11. Does anyone named in Section C (3) of this application have any interest in any kind of alcoholic beverage business or in the premises of any alcoholic beverage business other than that for which the applicant is applying? [ ] YES [x] NO

If yes, attach a statement identifying the person(s) and describing the interest(s). Attach additional pages if needed.

- 12. Has the applicant or any person named in Section C (3) been convicted of any felony in the past five (5) years or been convicted of a misdemeanor directly or indirectly related to alcohol or a controlled substance in the past two (2) years? [ ] YES [x] NO

If yes, attach a statement identifying the person(s) convicted and date(s) and type(s) of conviction(s).

- 13. Has there ever been a suspension, denial, or revocation of any alcoholic beverage license held by the applicant or by any person named in Section C (3) of this application? If yes, attach a statement giving a full explanation, including date(s) of suspension, denial, or revocation. [ ] YES [x] NO

- 14. a. Were the premises licensed to sell alcoholic beverages at any time during the past twelve months? [ ] YES [x] NO

NOTE: Debts owed by the existing owner shall not prohibit the approval of a new application if the business is not being transferred pursuant to KRS 243.630.

- b. Are the premises currently licensed? [ ] YES [x] NO

If yes, give the Kentucky License number(s)

**SECTION D (Continued)**

- c. Are the rights of an existing Quota Retail Package license or a Quota Retail Drink license being transferred?  YES  NO
- d. Is the applicant applying for a new Quota Retail Package license or a Quota Retail Drink license?  YES  NO
- e. Is the applicant acquiring an interest in the existing business?  YES  NO

If you answered yes on 14(e), check all the following boxes that apply:

- Inventory       Lease       Fixtures and equipment
- Ownership by purchase of assets       Ownership by purchase of shares       Other \_\_\_\_\_

f. Does the existing business owe taxes or any tax returns?  YES  NO

15. Will gasoline or lubricating oil be sold or work be done on motor vehicles?  YES  NO

If yes, will an inventory of not less than \$5,000 in food, groceries, and related products be maintained?  YES  NO

16. Will tobacco products, alternative nicotine products, or vapor products be sold at the premise to be licensed?  YES  NO

If yes, check all products that will be sold:

- Tobacco products       Alternative nicotine products       Vapor products

**SECTION E**

17. Check the license type(s) for which the applicant is applying. For each license type selected, the applicant affirms that the requirements for that license type(s) are met.

LICENSE TYPES	Licensing Fee Full Year	Licensing Fee Half Year
<b>RETAIL - QUOTA</b>		
<input checked="" type="checkbox"/> <b>Quota Retail Package License</b> (KRS 243.230, 804 KAR 4:270) A quota license must be available prior to applying.	<b>\$570</b>	<b>\$285</b>
<input type="checkbox"/> <b>Quota Retail Drink License</b> (KRS 243.250, 804 KAR 4:270) A quota license must be available prior to applying.	<b>\$620</b>	<b>\$310</b>
<b>RETAIL-NON QUOTA</b>		
<input checked="" type="checkbox"/> <b>NQ Retail Malt Beverage Package License</b> (KRS 243.280)	<b>\$210</b>	<b>\$105</b>
	If applying for both an NQ Retail Malt Beverage Package License and an NQ-4 Retail Malt Beverage Drink License, the total license fee for a full year for both is \$260: \$210 for a primary NQ Malt Beverage License and \$50 discounted fee to add the secondary NQ Malt Beverage License.	
<input type="checkbox"/> <b>NQ-4 Retail Malt Beverage Drink License</b> (KRS 243.088)	<b>\$210</b>	<b>\$105</b>
	If applying for both an NQ Retail Malt Beverage Package License and an NQ-4 Retail Malt Beverage Drink License, the total license fee for a full year for both is \$260: \$210 for a primary NQ Malt Beverage License and \$50 discounted fee to add the secondary NQ Malt Beverage License.	
<input type="checkbox"/> <b>NQ-1 Retail Drink License</b> (KRS 243.082) Specify the business type:	<b>\$4,120</b>	<b>\$2,060</b>
<input type="checkbox"/> Convention Center - Premises capacity of 1,000 persons		
<input type="checkbox"/> Horse Track - Premises located at a track licensed by the Kentucky Racing Commission (KRS 243.265)		
<input type="checkbox"/> Automobile Race Track - Premises seating capacity of 30,000 persons		
<input type="checkbox"/> Air or Rail System - Commercial airline system or railroad company sells alcohol to passengers on scheduled or chartered trips		
<input type="checkbox"/> State Park - 9- or 18-hole golf course, or full-service lodge and dining room with or without a 9- or 18-hole golf course		

**SECTION E (Continued)**

LICENSE TYPES	Licensing Fee Full Year	Licensing Fee Half Year
<input type="checkbox"/> <b>NQ-2 Retail Drink License</b> (KRS 243.084) Specify the business type: <input type="checkbox"/> Restaurant - Minimum 50% of gross annual income from food sales and minimum seating capacity of 50 persons at tables <input type="checkbox"/> Motel/Hotel - Minimum 50 sleeping rooms, 25,000 square feet of parking, and maintain a restaurant with 50% food sales and minimum seating capacity of 50 people at tables <input type="checkbox"/> Airport - Premises located in a commercial airport through which more than 500,000 passengers arrive or depart annually <input type="checkbox"/> Riverboat - Capacity to carry more than 100 passengers, and license from U.S. Coast Guard	<b>\$830</b>	<b>\$415</b>
<input type="checkbox"/> <b>NQ-3 Retail Drink License</b> (KRS 243.086) Specify the business type: <input type="checkbox"/> Private Club - Nonprofit charitable, civic, social, fraternal organization, or political club which has maintained a room from which the general public has been excluded for at least one (1) year <input type="checkbox"/> Dining Car - Railroad or Pullman car company that sells alcohol by package or drink on a train	<b>\$310</b>	<b>\$155</b>
<input type="checkbox"/> <b>Limited Restaurant License</b> LR100 or LR50 (KRS 241.010 (32), KRS 242.1244, KRS 243.034) <input type="checkbox"/> LR100 - Minimum 70% food sales and minimum seating capacity of 100 persons at tables <input type="checkbox"/> LR50 -Minimum 70% food sales and minimum seating capacity of 50 persons at tables	<b>\$780</b>	<b>\$390</b>
<input type="checkbox"/> <b>Limited Golf Course License</b> (KRS 243.038, KRS 243.039) 9- or 18- hole USGA regulation golf course	<b>\$720</b>	<b>\$360</b>
<input type="checkbox"/> <b>Qualified Historic Site License</b> (KRS 241.010, KRS 243.042)	<b>\$1,030</b>	<b>\$515</b>
<input type="checkbox"/> <b>Caterer's License</b> (KRS 243.033, 804 KAR 4:310) Premises contain commissary and applicant holds food service permit.	<b>\$830</b>	<b>\$415</b>
<input type="checkbox"/> <b>Transitional Malt Beverage License</b> (KRS 243.040, KRS 243.045) Check the box that applies: <input type="checkbox"/> Purchasing the existing business <input type="checkbox"/> Not purchasing the existing business	<b>\$60</b>	<b>N/A</b>
<input type="checkbox"/> <b>Transitional Distilled Spirits and Wine License</b> (KRS 243.030, KRS 243.045) Check the box that applies: <input type="checkbox"/> Purchasing the existing business <input type="checkbox"/> Not purchasing the existing business	<b>\$60</b>	<b>N/A</b>

**SECTION E (Continued)**

LICENSE TYPES	Licensing Fee Full Year	Licensing Fee Half Year
<b>PRODUCER/SUPPLIER</b>		
<input type="checkbox"/> <b>Distiller's License -Class A [more than 50,000 gallons produced annually]</b> (KRS 243.120, KRS 243.130, 804 KAR 4:050, 804 KAR 4:240)  Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$3,090  \$6,180	\$1,545  \$4,635
<input type="checkbox"/> <b>Distiller's License -Class B [50,000 gallons or less produced annually]</b> (KRS 243.120, KRS 243.130, 804 KAR 4:050, 804 KAR 4:240)  Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$1,000  \$2,000	\$500  \$1,500
<input type="checkbox"/> <b>Rectifier's License</b> (KRS 243.120, 804 KAR 4:050)  Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$2,580  \$5,160	\$1,290  \$3,870
<input type="checkbox"/> <b>Winery License</b> (KRS 243.120, KRS, 243.130)  Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$1,030  \$2,060	\$515  \$1,545
<input type="checkbox"/> <b>Small Farm Winery License</b> (KRS 243.155, 804 KAR 4:380)  Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$110  \$220	\$55  \$165
<input type="checkbox"/> <b>Brewer's License</b> (KRS 243.150, KRS 244.606)  Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$2,580  \$5,160	\$1,290  \$3,870
<input type="checkbox"/> <b>Microbrewery License</b> (KRS 243.157, KRS 244.606)  Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$520  \$1,040	\$260  \$780
<b>DISTRIBUTION/WHOLESALE</b>		
<input type="checkbox"/> <b>Wholesaler's License</b> (KRS 243.160, KRS 243.170)  Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$2,060  \$4,120	\$1,030  \$3,090
<input type="checkbox"/> <b>Distributor's License</b> (KRS 243.180, KRS 244.606)  Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$520  1,040	\$260  \$780
<input type="checkbox"/> <b>Small Farm Winery Wholesaler's License</b> (KRS 243.154, 804 KAR 4:420)  Check the appropriate box for license term: <input type="checkbox"/> 1 year <input type="checkbox"/> 2 year	\$110  \$220	\$55  \$165
<b>TRANSPORTERS</b>		
<input type="checkbox"/> <b>Transporter's License</b> (KRS 243.200)	\$210	\$105
<input type="checkbox"/> <b>Air Transporter License</b> (KRS 243.217)	\$520	\$260
<b>STORAGE</b>		
<input type="checkbox"/> <b>Malt Beverage Storage License</b> (KRS 243.353) Specify the required business type: <input type="checkbox"/> Distributor <input type="checkbox"/> Brewer <input type="checkbox"/> Retailer	\$260	\$130

**SECTION E (Continued)**

LICENSE TYPES	Licensing Fee Full Year	Licensing Fee Half Year
<input type="checkbox"/> <b>Distilled Spirits/Wine Storage License</b> (KRS 243.355)	\$620	\$310
<input type="checkbox"/> <b>Bottle House/Bottling House Storage License</b> (KRS 243.030, 804 KAR 4:040)	\$1,030	\$515
<b>SUPPLEMENTAL LICENSES</b>		
<input type="checkbox"/> <b>Supplemental Bar License</b> Fees are required for the first five. (KRS 243.037, KRS 241.010)  Select supplemental license type that applies to primary license type:		
<input type="checkbox"/> Quota Liquor Drink Supplemental Bar	\$620	\$310
<input type="checkbox"/> NQ-2 Supplemental Bar	\$830	\$415
<input type="checkbox"/> Limited Restaurant Supplemental Bar	\$780	\$390
<input type="checkbox"/> Limited Golf Course Supplemental Bar	\$720	\$360
<input type="checkbox"/> NQ-3 Private Club Supplemental Bar	\$310	\$155
For how many Supplemental Licenses is the applicant applying?  _____		
<input type="checkbox"/> <b>Sampling License</b> (KRS 244.050) Select the required primary license type: <input type="checkbox"/> Distillery <input type="checkbox"/> Quota Retail Package <input type="checkbox"/> Quota Retail Drink <input type="checkbox"/> NQ-2 Retail Drink	\$110	\$55
<input type="checkbox"/> <b>Special Sunday Retail Drink License</b>  Available if authorized by local ordinance or election. (KRS 244.290, KRS 243.050)	\$520	\$260
<input type="checkbox"/> <b>Extended Hours Supplemental License</b>  Available only to holders of NQ-1 Retail Drink Licenses, Licensee located within a commercial airport, and Qualified Historic Site Licenses (KRS 243.050, 804 KAR 4:230)	\$2,060	\$1,030
<input type="checkbox"/> <b>Small Farm Winery Off-Premises License</b> (KRS 243.155)	\$30	\$15
<input type="checkbox"/> <b>Hotel In-Room License</b> (KRS 243.055)	\$210	\$105
<b>SPECIALTY LICENSES</b>		
<input type="checkbox"/> <b>Entertainment Destination Center License</b> (KRS 243.030, 804 KAR 4:370)	\$7,730	\$3,865
<input type="checkbox"/> <b>Brew on Premises License</b> (KRS 243.040), 804 KAR 4:340)	\$520	\$260
<input type="checkbox"/> <b>Special Nonbeverage Alcohol License</b> (KRS 243.320, 804 KAR 4:070)	\$60	\$30

**SECTION F**

18. List all types of licenses applied for in Section E: Quota Retail Package License, No Retail Malt Beverage Package License

19. Describe in detail the type of business and how alcoholic beverages will be sold: Retail Liquor Store by Package Beer

**If applicable, how will Malt Beverages (beer) will be sold at the business:**     Drink     Package     Both Drink and Package.

**If applicable, how will Wine and Distilled Spirits will be sold at the business:**     Drink     Package     Both Drink and Package.

**Required Supplemental Information for Retail Licenses:**

**SECTION G**

**This section must be completed if applying for a Quota Retail Drink License.**

20. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO
21. Is the applicant applying for an NQ-4 Retail Malt Beverage Drink License?  YES  NO
22. Is the applicant applying for a NQ Retail Malt Beverage Package License?  YES  NO
23. Is the applicant applying for a Supplemental Bar License?  YES  NO
- State the number of additional Supplemental Bar licenses applied for: \_\_\_\_\_
24. Is the applicant applying for a Special Sunday Retail Drink License?  YES  NO
- This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.**
25. Is the applicant applying for a Sampling License?  YES  NO

**SECTION H**

**This section must be completed if applying for a Quota Retail Package License.**

26. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO
27. Is the applicant applying for an NQ-4 Retail Malt Beverage Drink License?  YES  NO
28. Is the applicant applying for a NQ Retail Malt Beverage Package License?  YES  NO
29. Is the applicant applying for a Sampling License?  YES  NO
30. Are the premises to be licensed located within an incorporated city?  YES  NO

**SECTION I**

**This section must be completed if applying for an NQ Retail Malt Beverage Package or NQ-4 Retail Malt Beverage Drink License.**

31. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO
32. If you are a Small Farm Winery applying for an NQ-4 retail malt beverage drink license, do you comply with all of the requirements prescribed in KRS 243.155(5)?  YES  NO
33. Check all licenses for which you are applying:  
 NQ Retail Malt Beverage Package License  NQ-4 Retail Malt Beverage Drink License
34. Do you plan to sell growlers?  YES  NO
35. Do you plan to sell marine fuel?  YES  NO
36. Do you plan to permit consumption of alcoholic beverages on the license premise?  YES  NO
- If yes, please describe the nature of your business \_\_\_\_\_
- \_\_\_\_\_
- \_\_\_\_\_

**SECTION J**

**This section must be completed if applying for an NQ-1 Retail Drink License.**

37. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO
38. Is the applicant applying for an Extended Hours Supplemental License?  YES  NO

## SECTION J (Continued)

39. Does the applicant qualify for the NQ-1 Retail Drink License as a:

- Commercial airline system                       Convention center  
 Auto racetrack                                       Commercial railroad company  
 Horse racetrack                                       State Park

40. If qualifying as a horse racetrack, **attach** a copy of its racing license issued by the Kentucky Racing Commission.

41. If qualifying as an air or rail system, **attach** a copy of the listing of the air or rail terminals used and the locations of the storage areas.

42. If qualifying as a state park, please select all that apply to the premise:

- 9-hole golf course  
 18-hole golf course  
 Full service lodge and dining room

## SECTION K

**This section must be completed if applying for an NQ-2 Retail Drink License.**

43. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO

44. Is the applicant applying for a Special Sunday Retail Drink License?  YES  NO

**This supplemental license is available ONLY if Sunday sales have been authorized by local ordinance or election.**

45. Does the applicant qualify for the NQ-2 Retail Drink license as a:

- Restaurant with 50 seats                       Motel/Hotel  
 Riverboat     Airport Drink  
 N/A

If qualifying as a Riverboat, **attach** a copy of the applicant's license issued by the United States Coast Guard authorizing the applicant's Riverboat to carry 100 or more passengers.

Give the address of the applicant's port: \_\_\_\_\_

46. Is the applicant applying for a Supplemental Bar License(s)?  YES  NO

If yes, how many? \_\_\_\_\_

47. Is the applicant applying for a Sampling License?  YES  NO

48. If a Motel or Hotel, is the applicant applying for a Hotel In-Room License?  YES  NO

## SECTION L

**This section must be completed if applying for a NQ-3 Retail Drink License.**

49. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO

50. Does the applicant qualify for the NQ-3 Retail Drink License as a Private Club Dining Car?  YES  NO

51. If qualifying as a private club, **attach** documentary evidence of the applicant's non-profit status.  
If qualifying as a private club, has the applicant maintained a club room(s) or all rooms of the premises from which the public has been excluded for one year?  YES  NO

52. Is the applicant applying for a Supplemental Bar License(s)?  YES  NO

If yes, how many? \_\_\_\_\_

53. Is the applicant applying for a Special Sunday Retail Drink License?  YES  NO

**This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.**



### SECTION M

This section must be completed if applying for a Limited Restaurant License.

54. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO
55. Does the applicant qualify for the Limited Restaurant License by meeting the requirements of:  
 100 seats and 70% gross annual receipts from food sales  50 seats and 70% gross annual receipts from food sales
56. Is the applicant applying for a Supplemental Bar License(s)?  YES  NO  
If yes, how many? \_\_\_\_\_
57. Is the applicant applying for a Special Sunday Retail Drink License?  YES  NO
- This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.**

### SECTION N

This section must be completed if applying for a Limited Golf Course License.

58. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO
59. Is the applicant applying for a Supplemental Bar License(s)?  YES  NO  
If yes, how many? \_\_\_\_\_
60. Is the applicant applying for a Special Sunday Retail Drink License?  YES  NO
- This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.**

### SECTION O

This section must be completed if applying for a Qualified Historic Site License.

61. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO
62. Is the applicant applying for an Extended Hours Supplemental License or a Special Sunday Retail Drink License?  YES  NO

### SECTION P

This section must be completed if applying for a Caterer's License.

63. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO
64. Do the proposed premises contain a commissary?  YES  NO  
**Attach** a copy of the applicant's Food Service Permit issued by the local Health Department.
65. Is the applicant applying for a Special Sunday Retail Drink License?  YES  NO
- This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.**
66. If the applicant is applying for a Caterer's License as a supplemental license, check the applicable primary license type:
- Quota Retail Package License  NQ-2 Retail Drink License  
 Quota Retail Drink License  Limited Restaurant License  
 NQ-1 Retail Drink License

## SECTION Q

This section must be completed if applying for a Transitional License.

The Distilled Spirits Administrator and Malt Beverage Administrator may issue a Transitional License(s) valid for a term of 60 days during the time a transfer of an ongoing business is being processed. To obtain a Transitional License, the applicant **MUST** meet the requirement of KRS 243.045:

67. Has the applicant properly filed a permanent application with both the local ABC Administrator and Kentucky Department of Alcoholic Beverage Control?  YES  NO
68. Has the applicant advertised its intention to apply for a license pursuant to KRS 243.360?  YES  NO
69. Has the applicant paid all application fees for the permanent license(s)?  YES  NO

**Attach** a copy of the required criminal background checks.

- **Once a Transitional License is issued, the seller's previously existing license, at that premises, is cancelled and therefore is no longer a valid license.**
- **If any outstanding tax liabilities or wholesaler debts are owed on the business, no permanent alcohol license shall be issued by the Department until any such debts are paid.**

## SECTION R

This section must be completed if applying for a Distiller's Class A, Distiller's Class B, Rectifier's, or Winery License.

70. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO
71. The applicant is applying for the following license type
- Distiller's Class A - produce more than 50,000 gallons annually
- Distiller's Class B - produce 50,000 gallons or less annually
- Rectifier
- Winery
72. **Attach** a copy of the applicant's Federal Basic Permit
73. If qualifying as a Distiller, is the applicant also applying for a Sampling License?  YES  NO

**All product brands intended for in-state retail sales must be registered by the producer with the Department prior to any sale or delivery to a wholesaler in the Commonwealth. All producers or suppliers shall use Product Registration Online to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>.**

## SECTION S

This section must be completed if applying for a Small Farm Winery License.

74. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO
- Attach** a copy of the applicant's Federal Basic Permit.
- Attach** any available Federal Report of Wine Premises Operation form showing the small farm winery's production amounts.
75. The applicant is applying for the following license type:
- NQ-4 Retail Malt Beverage Drink License
- NQ-2 Retail Drink License
76. Is the applicant applying for a Special Sunday Retail Drink License?  YES  NO
- This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.**
77. In which state is the small farm winery located? \_\_\_\_\_
78. If not located in Kentucky, **attach** a copy of the applicant's equivalent license from that state.

**Please be advised: All product brands intended for in state retail sales must be registered by the producer with the Department prior to any sale or delivery to a wholesaler in the Commonwealth. All producers or suppliers shall use Product Registration Online to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>**

## SECTION T

This section must be completed if applying for a Small Farm Off-Premises License.

79. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO
80. The applicant is applying for the following license type(s)
- NQ Retail Malt Beverage Package License
- NQ-4 Retail Malt Beverage Drink License
81. Is the applicant applying for a Special Sunday Retail Drink License?  YES  NO
- This supplemental license is available ONLY if Sunday alcohol sales have been authorized by local ordinance or election.**
82. Does the applicant currently hold a Kentucky Department of Alcoholic Beverage Control Small Farm Winery License?  YES  NO
- If yes, provide the applicant's license number \_\_\_\_\_

## SECTION U

This section must be completed if applying for a Brewer's License.

83. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO
84. **Attach** a copy of the applicant's Federal Basic Permit.
- All product brands intended for in-state retail sales must be registered by the producer with the Department prior to any sales or delivery to distributors in the Commonwealth. All producers or suppliers shall use Product Registration Online to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>.**

## SECTION V

This section must be completed if applying for a Microbrewery License.

85. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO
86. Does the applicant hold any other license types?  YES  NO
- If yes, what? \_\_\_\_\_
87. **Attach** a copy of the applicant's Federal Basic Permit.
88. List all additional eligible license types (pursuant to KRS 243.157) for which the applicant is applying:
- \_\_\_\_\_
- \_\_\_\_\_
- All product brands intended for in-state retail sales must be registered by the applicant with the Department prior to any sale or delivery to distributors in the Commonwealth. All producers or suppliers shall use Product Registration Online to be completed electronically at <https://www.productregistrationonline.com/web/publicpro/getstarted/ky#selectpermit> or <https://www.productregistrationonline.com>
  - Production volumes must fully comply with statutory requirements.

## SECTION W

This section must be completed if applying for a Wholesaler's, Distributor's, or Small Farm Winery Wholesaler's License.

89. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO

90. **Attach** a copy of the applicant's Federal Basic Permit.  
If applying for a storage license, complete Section Y of this application.

**Distribution Contracts or Territorial Agreements must be in place for all producers and suppliers. All product brands intended for sale or importation into the Commonwealth must be jointly registered by the suppliers and the applicant with the Department prior to any sale or delivery in the Commonwealth. All wholesalers or distributors shall use Product Registration Online to be completed electronically at <https://ky.productregistrationonline.com/distributor/login> or <https://www.productregistrationonline.com>.**

## SECTION X

This section must be completed if applying for a Transporter's or Air Transporter License.

91. Does the applicant meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO

Specify whether applicant is:

- Resident of Kentucky  
 Non-Resident of Kentucky

**Attach** a copy of the applicant's Interstate Commerce Commission (ICC) authority.

92. If the applicant is applying for an Air Transporter License, is the applicant a commercial airline system, charter flight system, or commercial cargo system?  YES  NO

93. If granted a license, I will allow any authorized field representative of the department to stop and examine the cargo of any truck or vehicle in which alcoholic beverages are being transported within the Commonwealth of Kentucky. Initial here \_\_\_\_\_

## SECTION Y

This section must be completed if applying for a Malt Beverage Storage, Distilled Spirits/Wine Storage, or Bottling House/Bottling House Storage License.

94. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO

95. If a Retailer, Distributor, or Brewer applying for a Malt Beverage Storage License, state the applicant's Kentucky Department of Alcoholic Beverage Control license number and address of the licensed premises:  
\_\_\_\_\_

96. If applying for a Distilled Spirits/Wine Storage or Bottling House/Bottling House Storage License as a supplemental license, state the applicant's primary Kentucky Department of Alcoholic Beverage Control license number and address of the licensed premises:  
\_\_\_\_\_

97. If applying for a Distilled Spirits Wine Storage License, state the applicant's intended use:  
\_\_\_\_\_  
\_\_\_\_\_

## SECTION Z

This section must be completed if applying for an Entertainment Destination Center License.

98. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO

99. Are the proposed premises located in an area that meets the definition of an Entertainment Destination Center pursuant to 804 KAR 4:370?  YES  NO

**SECTION AA**

This section must be completed if applying for a Special Nonbeverage Alcohol License.

100. Do the premises meet all of the requirements specified in Section E and all applicable Kentucky Revised Statutes?  YES  NO

101. For what nonbeverage purpose does the applicant seek this license?  
\_\_\_\_\_  
\_\_\_\_\_

102. If the applicant holds any equivalent Federal Basic Permit(s), attach to this application.

**SECTION BB**

**THE SELLER MUST COMPLETE THIS SECTION IF SECTION (D) 14 HAS BEEN ANSWERED "YES" OR IF A LICENSE IS BEING TRANSFERRED.**

I (we), \_\_\_\_\_ hereby swear and affirm that I am the owner or  
(print full name)  
authorized officer of \_\_\_\_\_ that holds the following state license(s), the numbers of which are given here:  
(name of business)

\_\_\_\_\_. The business is located at \_\_\_\_\_  
(business address)

My contact information \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_, \_\_\_\_\_  
(street address) (city) (county) (state) (zip)

\_\_\_\_\_  
(phone number) (fax number) (email address)

I (we) hereby surrender said license(s) and in doing so relinquish all rights and claims thereto and all privileges hereunder. I understand that if a license transfer is not approved, said license surrender shall be null and void and the license shall remain in the seller's name.

**Signature of Seller** \_\_\_\_\_ **Title** \_\_\_\_\_ **Date** \_\_\_\_\_  
(If a partnership, all partners must sign. If a corporation, only one officer must sign)

**SECTION CC**

This section is not required if the applicant is applying for any of the following license types: Special Nonbeverage Alcohol, Air Transporter, Transporter's, Out-of-State Distilled Spirits/Wine Producer/Supplier, Limited Out-of-State Distilled Spirits/Wine Producer/Supplier, Micro Out-of-State Distilled Spirits/Wine Producer/Supplier, Special Agent/Solicitor, Small Farm Winery, Out-of-State Malt Beverage Producer/Supplier, or Limited Out-of-State Malt Beverage Producer/Supplier. Applicants must complete this section if an equivalent local license is required.

**OBTAIN LOCAL ABC ADMINISTRATOR'S SIGNATURE OF APPROVAL**

The local ABC Administrator must approve this application and such approval must be forwarded to the state ABC. Take or mail this application, fees, and all attachments to the local ABC Administrator. Obtain the local ABC Administrator's signature and approval or arrange for such approval to be sent to the state ABC Office.

I certify under oath that the applicant(s) has been approved for the equivalent local license type(s) applied herein for the identified premises, and that the applicant satisfies all local ordinances.

APPLICANT NAME Greg Cozzani ADDRESS 2819 Burnside Dr Burlington Ky 41005

SIGNATURE OF APPROVAL OF LOCAL ABC ADMINISTRATOR \_\_\_\_\_ DATE 4-29-16

City of Dry Ridge Administrator \_\_\_\_\_ County of Grant Administrator \_\_\_\_\_

**APPLICATION AFFIDAVIT**

**AFFIDAVIT OF BUYER OR NEW PERSON APPLYING FOR THE ABC LICENSE(S)**

I, (print your name here) Greg Cozzart, do hereby swear or affirm under penalty of perjury that all statements contained in this application and all its attachments are true and correct to the best of my knowledge, information, and belief. I hereby swear and affirm that I shall not engage in any activity involving alcoholic beverages at the premises described herein until I have been issued the appropriate license(s) by the Kentucky Department of Alcoholic Beverage Control. I hereby swear and affirm that if the license(s) is issued, I shall abide by all state and local statutes, regulations, and ordinances relating to the manufacture, sale, use, and trafficking in alcoholic beverages. I hereby swear and affirm that no persons listed in Section (C) 3 of this application are in default of a repayment obligation under any financial program administered by a Kentucky Higher Education Assistance Authority (KHEAA) such as a student loan repayment.

Signature of Buyer or New Applicant 

Title Manager

Date 04/27/16

**This concludes the ABC Basic Application.**

**Note:** Once you have completed the application, please save a copy of the electronic version for your records. Then, print the completed applications and return it to the Department of Alcoholic Beverage Control. We accept completed applications by hand delivery, mail, or fax.

### CHECK LIST

1. Have you included a completed REMITTANCE FORM or a certified check, cashier's check, business check, or money order for license(s) fees made payable to the "Kentucky State Treasurer"?	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
No cash will be accepted.		
2. Have you answered each question fully and checked the type(s) of license(s) you are applying for?	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
3. Have you signed your application(s) ?	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
4. If applicable, has the seller signed the application?	<input type="checkbox"/> YES	<input type="checkbox"/> NO
5. Have you attached a certified copy of your newspaper advertisement for this license?	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
6. Have you attached a signed/valid copy of your deed or lease?	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
7. Have you attached a copy of your criminal background check from the state(s) where you have resided for the past five years?	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
8. Have you secured the signature of approval from your local ABC Administrator on this application (if applicable)?	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
Visit <a href="http://abc.ky.gov">http://abc.ky.gov</a> for a list of Local ABC Administrators in your area.		
9. Have you reviewed your application to assure there are no errors, missing information and/or responses?	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
10. Have all additional required documents been attached?	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO